

| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 214869US8 | | SERIAL NO. 10/032950 NEW APPLICATION | |
|---|----|--|-----------|--------------------------------------|--------------------------------|---|-------------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT HIDEYUKI NASU ET AL. | | | |
| | | | | FILING DATE <u>4. VU</u> HEREWITH | | GROUP <u>2821</u> | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB. CLASS | FILING DATE IF APPROPRIATE |
| <u>W</u> | AA | 4,998,256 | 3/5/1991 | Ohshima et al. | | | |
| <u>W</u> | AB | 6,291,813 | 9/18/2001 | Ackerman et al. | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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| | AL | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| <u>W</u> | AO | JP 2000-056185 | 2/25/2000 | Japan | X | | |
| <u>W</u> | AP | EP 106 9658 | 1/17/2001 | Europe (English) | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| <u>W</u> | AW | Villeneuve et al., "High-stability wavelength-controlled DFB-laser sources for dense WDM applications," Optical Fiber Communication (OFC) conference Technical Digest, March 20, 2001. | | | | | |
| <u>W</u> | AX | Tatsuno et al., "50GHz spacing, multi-wavelength tunable locker integrated in a transmitter module with a monolithic-modulator and a DFB-laser," OFC Technical Digest TuB5-1, March 20, 2001. | | | | | |
| <u>W</u> | AY | Adams et al., "Module-packaged tunable laser and wavelength locker delivering 40mW of fibre-coupled power on 34 channels," Electronics Letters Vol. 37, No. 11, 691-692, 24 th May, 2001. | | | | | |
| | AZ | | | | | | |
| Examiner <u>W</u> | | | | | Date Considered <u>3/18/05</u> | | |

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.